IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/532,027

Applicant(s):

Eisaku KATOH et al.

Filed

April 21, 2005

TC/A.U.

Examiner

Docket No.

: 05270/HG

For

MATERIAL FOR

ELECTROLUMINESCENT

ELEMENT, ORGANIC...

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01933

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COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Annexed hereto are copies of publications listed in the above-identified application.

The relevance of these documents is discussed on pages 5-6 of the specification.

These publications are also listed in the enclosed Forms PTO/SB/08A and PTO/SB/08B. It is requested that the publications be considered with respect to the patentability of the present invention and that an initialed copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B be returned to applicants to indicate that such publications have been considered and made "of record."

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I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Laraine Dobies

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

The enclosed Form PTO/SB/08A also lists a related corresponding application. Since this document exists in the inventory of patent applications of the USPTO's image file wrapper (IFW) system, a copy of the document is not required in accordance with the Patent Office Waiver (copy of the Waiver is attached).

Consideration of the co-pending application is requested.

This IDS is being filed within three months of the filing date of this application. Furthermore, a first action on the merits has not yet been received in this application. Therefore, no fee is required. However, if it is determined that a fee is required, authorization is given to charge any additional fees to Deposit Account No. 06-1378.

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Respectfyll My/smbmitted

MARSHALL J. CHICK Reg. No. 26,853

Enc.: Forms PTO/SB/08A and PTO/SB/08B Copy of Waiver

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Application Number			10/532,027			
					Filing Date			April 21, 2005			
					First Named Inventor			Eisaku KATOH			
					Group Art Unit						
					Examiner Name						
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Exam. Inits*	Cite No¹	Docur	ment Num	ber	Kind Code²	Name of Patentee Applicant	or	Publication Date MM-DD-YYY			
		10/5	19,107			Suzuri et al.		12-23-2004			
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Exam Inits	Cite No¹	Offc³	Docume	nt Number⁴	Kind Code⁵	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion	T ⁶	
		JP	07-110940		B2	Konica Corp.		1-29-1995		*	
		JР	2001-1	2001-160488				6-12-2001		**	
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^{*} EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: July 15, 2005

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

^{*} English Language Machine translation

^{**}English Language Machine translation and English Language Abstract

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitu	ite fo	r Form 14	49A/PTO	Application Number 10/532,027					
				Filing Dat	ie	April 21, 2005			
		DISCLOS Y APPLIO		First Name	ed Inventor	Eisaku KATOH			
				Group Art	Unit				
				Examiner Name					
Sheet	2	of	2	Attorney [Docket Number	05270/HG			
		OTHER PR	IOR DOCUME	NTS - NON-	PATENT LITER	ATURE DOCUMENTS			
Examiner Initials¹	Cite No.1		<pre>Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published</pre>						
	THOMPSON, et al., "Phosphorescent Materials and Devices", The 10th International Workshop on Inorganic and Organic Electroluminescence (EL '00, Hamamatsu), University of Southern California, Princeton University and Universal Display Corporation, Ewing, NJ, pages 35-38 YANG, et al., "High Efficiency Organic Emitting Devices Using New Iridium Complexes", The 10th International Workshop on Inorganic and Organic Electroluminescence (EL '00, Hamamatsu), Kyushu University, Kasuga, Fukuoka, Japan, pages 227-229 IKAI et al., "Highly efficient phosphorescence from organic light emitting devices with tris(2-phenylpyridine)iridium doped into hole-transporting materials", The 10th International Workshop on Inorganic and Organic Electroluminescence (EL '00, Hamamatsu), TOYOTA Central Research & Development Laboratories, Inc., Nagakute, Aichi, Japan, pages 175-177								
Examiner Signature					Date Considered				

^{*} EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 $^{^1}$ Unique citation designation number. 2 Place a check here if English translation is attached.

Waiver of the Copy Requirement in 37 CFR 1.98 for Cited Pending U.S. Patent Applications

37 CFR 1.98 requires that for each cited pending U.S. patent application, an information disclosure statement (IDS) include a legible copy of the application specification, including the claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims, directed to that portion. See 37 CFR 1.98(a)(2)(iii).

The United States Patent and Trademark Office (USPTO) has been scanning newly filed patent applications and the existing inventory of patent applications into USPTO's Image File Wrapper (IFW) system since June of 2003. See Notification of United States Patent and Trademark Office Patent Application Records being Stored and Processed in Electronic Form, 1271 Off.

Gaz. Pat. Office 100 (June 17, 2003). Applications stored in the IFW system may be viewed by examiners on their desktop computers. Consequently, there is no longer a need to require a copy of the specification, including claims, and drawings of a U.S. patent application (or portion of the application) listed on an IDS when the cited applicant is stored in the USPTO's IFW system, and can be readily viewed by examiners, applicants and members of the public.

Therefore, the requirement in 37 CFR 1.98(a)(2)(iii) for a legible copy of the specification, including the claims, and drawings of each cited pending U.S. patent application (or portion of the application which caused it to be listed) is *sua sponte* waived where the cited pending application is stored in the USPTO's IFW system. See 37 CFR 1.183. This waiver is effective immediately.

Applications filed under 35 U.S.C. § 111 on or after June 30, 2003, and international applications that have entered the national stage on or after June 30, 2003, have been or are being scanned into the USPTO's IFW system. When citing to a pending application filed under 35 U.S.C. § 111 before June 30, 2003, or that entered the national stage before June 30, 2003, the applicant may check the private Patent Application Information Retrieval (PAIR) System to see whether the application is stored in the USPTO's IFW system in order to determine if a copy of the application (or portion of the application) is required to be provided with an IDS. The private PAIR System can be accessed over the Office's Internet Web site (www.uspto.gov).

When citing to a pending U.S. patent application that has been published under 35 U.S.C. § 122(b) (eighteen-month publication), the USPTO prefers that the citation be to the patent application publication (by publication number) rather than to the application itself (by application number).

This waiver is limited to the specification, including the claims, and drawings in the U.S. application (or portion of the application). If material other than the specification, including the claims, and drawings in the file of a U.S. patent application is being cited in an IDS, the IDS must contain a legible copy of such material. See 37 CFR 1.98(a)(1)(iv).

Inquiries concerning this notice may be directed to Jeanne M. Clark, Senior Legal Advisor, Office of Patent Legal Administration, at (703) 306-5603.

/s/
Stephen G. Kunin
Deputy Commissioner
for Patent Examination Policy

September 21, 2004